

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

TAKAGI et al.

Serial No.: 10/828,393

Filed: April 19, 2004

Atty. File No.: 2933NS-3-1

) Group Art Unit: 2861

)

) Examiner: TRAN, Huan Huu

)

) Confirmation No.: 4827

)

) **INFORMATION DISCLOSURE**

) **STATEMENT UNDER 37 C.F.R. § 1.97(i)**

)

)

For: "OPTICAL WRITE-IN HEAD,  
IMAGE FORMING APPARATUS USING  
THE SAME, AND METHOD FOR  
INSPECTING THE APPARATUS"

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicants hereby provide a copy of each of the documents identified on the enclosed Form PTO SB08. Applicants request that this Information Disclosure Statement and the submitted referenced be placed in the application file.

Respectfully submitted,

SHERIDAN ROSS P.C.

By: 

Bradley M. Knepper

Registration No. 44,189

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(303) 863-9700

Date: June 29, 2006

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		10828393
	Filing Date		2004-04-19
	First Named Inventor	TAKAGI et al.	
	Art Unit	2861	
	Examiner Name		
	Attorney Docket Number	2933NS-3-1	

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT****( Not for submission under 37 CFR 1.99)**

Application Number	10828393
Filing Date	2004-04-19
First Named Inventor	TAKAGI et al.
Art Unit	2881
Examiner Name	
Attorney Docket Number	2933NS-3-1

1	Japanese Literature, Published 1991.	<input type="checkbox"/>
2	State Intellectual Property Office of People's Republic of China Second Office Action for related Chinese Patent Application No. 02132019.5 dated May 12, 2006 (English Language Translation)	<input type="checkbox"/>

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Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.18 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT****( Not for submission under 37 CFR 1.99)**

Application Number	10828393
Filing Date	2004-04-19
First Named Inventor	TAKAGI et al.
Art Unit	2861
Examiner Name	
Attorney Docket Number	2933NS-3-1

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

- ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Bradley M. Knepper/	Date (YYYY-MM-DD)	2006-06-29
Name/Print	Bradley M. Knepper	Registration Number	44189

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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